# Density Functional Study of Systems with Nondynamical Electron Correlation: The S<sub>3</sub>, Se<sub>3</sub>, and Te<sub>3</sub> Potential Energy Surfaces

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Critical points on the  $C_{2\nu}$  to  $D_{3h}$  interconversion pathway on the ground <sup>1</sup>A<sub>1</sub> state potential energy surfaces of the S<sub>3</sub>, Se<sub>3</sub>, and Te<sub>3</sub> molecules were studied using pure (BLYP, BP86) and hybrid (B3LYP, B3P86) density functionals and double- $\zeta$  plus polarization (DZP) level or larger basis sets. True transition states near the crossing of the  $C_{2\nu}$  HOMO ( $b_2^2$ ) and  $D_{3h}$  HOMO ( $b_1^2$ , orbital assigned as in  $C_{2\nu}$  symmetry) configurations were determined using fractionally occupied orbitals. Near the saddle points the orbital occupancy of the  $b_1$ (HOMO in  $D_{3h}$ ) decreases and the occupancy of  $b_2$  (LUMO in  $D_{3h}$ ) increases smoothly on proceeding from  $S_3$  to Te<sub>3</sub>. The average DFT barrier heights relative to the  $C_{2\nu}$  minima are 26, 17, and 10 kcal/mol for  $S_3$ ,  $S_{23}$ , and Te<sub>3</sub>, respectively. For S<sub>3</sub>, DFT predicts that the  $C_{2\nu}$  open structure is ca. 10 kcal/mol more stable than the  $D_{3h}$  ring. For Se<sub>3</sub> and Te<sub>3</sub> the total energies of the open and ring forms were predicted to be nearly degenerate. The correct ordering and reasonable values of the harmonic vibrational frequencies were obtained for all equilibrium structures. The accuracy of the predicted values depends on the nature of the exchange corrections: for S<sub>3</sub> and Se<sub>3</sub> hybrid Becke-3 (B3) yields the best energetics, whereas pure Becke-88 (B) predicts the best geometries and vibrational frequencies. For Te<sub>3</sub>, the pure B functional is recommended on the basis of the present results. A change in the correlation functional plays a relatively minor role in computations on these systems. For all systems reasonable values may be obtained using basis sets beginning at the DZP level.

#### Introduction

The potential energy surfaces (PES) of the  $X_3$  systems (X = O, S, Se, Te, Po) with strong nondynamical electron correlation have challenged theory and experiment for the last two decades.<sup>1–4,8</sup> It has been established by Ruedenberg et al.<sup>3</sup> at a multireference level of theory that on the 11A1 ground-state PES of  $O_3$  and, very likely,  $S_3^{3c}$  there exist one  $D_{3h}$  minimum corresponding to the ring structure and, due to the total symmetry of X<sub>3</sub>, three equivalent  $C_{2\nu}$  minima corresponding to the more stable bent structures. Consequently, three equivalent transition states (TS) exist for three possible symmetry equivalent  $C_{2v}$  to  $D_{3h}$  interconversions. Each TS lies on a very sharp ridge between the basins of the  $D_{3h}$  and  $C_{2v}$  minima. In the immediate vicinity of the TS, a second electronic state  $(2^{1}A_{1})$ has a minimum in  $C_{2v}$  symmetry. Not far from each pair of TS and this  $2^{1}A_{1}$  minimum, the upper and lower states intersect conically in a point on the local ridge. These three intersection points lie on one intersection seam, which is a closed curve in a  $C_s$  region of coordinate space. In addition, this  $C_s$  intersection seam crosses each  $C_{2\nu}$  subspace at a second point were it connects with a further independent intersection seam (which lies entirely in a  $C_{2\nu}$  subspace) in an intersection-seam node.

The present work studies that part of the global PES of  $X_3$  that corresponds to the  $C_{2\nu}$  to  $D_{3h}$  rearrangement and appears to be the result of an avoided crossing of the  $(..4b_2^22b_1^0)$  and the  $(..2b_1^2 \ 4b_2^0)$  leading configurations of the global and secondary minima. Near the TS region along the reaction coordinate the leading configuration of the ground-state wave function must change, if  $C_{2\nu}$  symmetry is enforced. Post-

Hartree–Fock methods such as CISD or coupled cluster can recover the avoided crossing but overestimate significantly the relative stability of the ring with respect to the open structure.  $l_{j,m,n,o,q}$ 

Reasonably accurate and low-cost density functional theory (DFT) methods have shown promise in describing systems with strong dynamic electron correlation.<sup>5</sup> However, conventional DFT methods are generally not applicable to the case of strongly nondynamical electron correlation effects. DFT as a singledeterminant approach might violate the noncrossing rule and the Aufbau principle. This problem may be ameliorated using the fractional occupation number (FON) algorithm. Originally, the FON approach was implemented by Slater et al.6a into the DFT-X $\alpha$  method for the study of transition metal compounds. Recently, the idea to simulate nondynamical correlations in small molecules<sup>6b,c</sup> by the FON procedure in the standard DFT approximation was successfully applied by Wang and Schwarz<sup>7a</sup> to the O<sub>3</sub> and SO<sub>2</sub> systems. The DFT FON approximation allows the lowering of the spin and spatial symmetries of the HOMO and LUMO and consequently can recover the avoided crossing in the TS region of the  $C_{2\nu}$  to  $D_{3h}$  pathway. Moreover, since DFT also includes dynamic correlation effects, the DFT FON calculations conceivably could produce even more reliable results than post-Hartree-Fock methods.7a Schipper et al.7b using the Kohn-Sham potential generated directly from an accurate correlated ab initio electron density confirmed the applicability of local and gradient-corrected DFT functionals with FON in the cases where the Aufbau principle is violated with the standard DFT procedure.

We investigate further the applicability of the DFT FON approach for the determination of the TS critical points for the

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next members of the X<sub>3</sub> series: S<sub>3</sub>, Se<sub>3</sub>, and Te<sub>3</sub>. To the best of our knowledge, the TS critical point has not been found correctly<sup>2c,3c</sup> except for S<sub>3</sub>. There is a practical need to estimate the correct barrier heights and, consequently, the kinetic stabilities of the  $D_{3h}$  equilibrium structures of Se<sub>3</sub> and Te<sub>3</sub>. The possible existence of ring isomers for both molecules has been proposed by the number of ab initio<sup>1b,d,i,h,2c,3c</sup> and DFT<sup>1e,p,g,2b</sup> studies. However, all attempts to locate the small ring clusters experimentally were unsuccessful.8 Since the structural parameters for the X<sub>3</sub> are unknown from experiment and the spectroscopic study of these small clusters is not an easily tractable problem,<sup>8</sup> scrutiny of the equilibrium structures of X<sub>3</sub> is also important. Multireference ab initio methods have indicated that the equilibrium structures of X<sub>3</sub> have a true multiconfigurational nature, making the prediction of the properties of the X<sub>3</sub> more difficult.<sup>1q,2a,2c,26</sup> The applicability of the density functional approach for such an "internal" type of nondynamical correlation also was examined herein.

Although different DFT potentials have been used for studying the  $O_3$  and  $S_3$  molecules,<sup>2b,d,7</sup> there are insufficient data to favor pure or hybrid DFT functionals. The identification of the best available computational scheme for the  $X_3$  systems is another goal of this work. Since the  $O_3$  molecule has been studied intensively both theoretically and experimentally, the DFT FON computations on  $O_3$  were performed for the purposes of comparison.

### Methods

All calculations were carried out with the GAUSSIAN94,<sup>10</sup> and GAUSSIAN98<sup>11</sup> packages. Becke's 1988 (B)<sup>12</sup> functional with gradient correction of the density and Becke's threeparameter hybrid functional (B3)<sup>13</sup> that incorporates a contribution for Hartree–Fock exchange were used to model the exchange. Correlation was included via the functionals of Lee, Yang, and Parr (LYP)<sup>14</sup> with local and nonlocal terms and Perdew-1986 (P86)<sup>15</sup> gradient corrections. Thus the exchangecorrelation combinations used were BLYP, BP86, B3LYP, and B3P86.

Several basis sets were used to assess their effects. For computations on the O<sub>3</sub> molecule the valence triple- $\zeta$  (TZ) 6-311<sup>18</sup> basis set was augmented with polarization functions (P) 2d (two sets of d-type polarization functions), 2df (two sets of d-type and one set of f-type polarization functions), and 3d2f (three sets of d-type and 2 sets of f-type polarization functions). The basis sets were augmented with diffuse functions (+) to enhance the descriptions of the outer valence regions primarily to improve the computed results for frontier orbital mixing. The 6-311+G(2d) and 6-311+G(2df) basis sets also were used for predictions on the S<sub>3</sub> and Se<sub>3</sub> molecules. Valence basis sets with the effective core potentials incorporated in the GAUSSIAN packages also were employed for the chalcogens. The Stephens-Basch-Krauss effective core potential (ECP) triple-split basis CEP-121G<sup>19</sup> was used for S<sub>3</sub>. The Los Almos ECP of double- $\zeta$ (DZ) quality LanL2DZ<sup>20</sup> was employed for S<sub>3</sub>, Se<sub>3</sub>, and Te<sub>3</sub>. This basis set also was augmented with one set of polarization functions (LanL2DZ G(d)). The 3-21G<sup>21</sup> basis extended with diffuse and polarization functions (3-21+G and 3-21+G(d), with 3-21+G(d)) $\alpha_d$  (Te) = 0.22) also were used for predictions on Te<sub>3</sub>. Computations on the Te compounds present some difficulties with respect to the choice of basis sets. Several additional basis sets beyond conventional ECP and split-valence types were used: the Christiansen relativistic ECP (RECP)<sup>22a</sup> basis sets GIN, with the DiLabio s/p/d (511/511/5111) contraction for 16 valence electrons,<sup>22b</sup> and the large (18s14p8d) all-electron basis set of Huzinaga,<sup>22c</sup> HD.

To determine correctly the transition states, unrestricted solutions (UDFT) for formally closed shell species were found according to Pulay's method.<sup>16</sup> With the GAUSSIAN series, the computational scheme involves the guess equals mix option to destroy  $\alpha - \beta$  orbital pairing and symmetries. For all systems the nature of the TS point has been verified by the existence of a single negative eigenvalue in its Hessian matrix ( $\lambda = 1$ ). To confirm the connection of this saddle point to the minima on the  $C_{2v}$  to  $D_{3h}$  pathway, Minyaev's<sup>17</sup> gradient reaction line approach was used. For all molecules considered, the  $C_{2\nu}$  or  $D_{3h}$  minima were reached from the corresponding saddle point by moving along the gradient line defined by the direction of the transition state vector. Harmonic vibrational frequencies, with all eigenvalues positive ( $\lambda = 0$ ) were obtained for all equilibrium structures. Since in the relatively high  $D_{3h}$  symmetry a pseudo-Jahn-Teller distortion is possible, the stabilities of the DFT solutions were confirmed for the  $D_{3h}$  structures using the tests for instabilities implemented in the GAUSSIAN packages.

#### **Results and Discussion**

The predicted properties from the DFT approaches for the  $O_3$ ,  $S_3$ ,  $Se_3$ , and  $Te_3$  molecules (relative energies, geometries, frequencies) are given separately in Tables 1–11. Each table also contains the available experimental data and/or the most accurate ab initio results for comparison. To illustrate the contribution of Hartree–Fock (HF) exchange to the hybrid B3 potential, HF results for the  $X_3$  species also are presented.

**1. Relative Energies.** Earlier correlated methods predict a preference for the  $C_{2v}$  structure of 8–15 kcal/mol for S<sub>3</sub> but much closer to degeneracy for the isomers of Se<sub>3</sub>, Te<sub>3</sub>, and Po<sub>3</sub>. It has been pointed out that the stability of the ring isomer increases and the barrier to interconversion decreases with increasing atomic number.<sup>1k</sup> Distinct from the correlated methods, HF theory overestimates the relative stability of the ring structure significantly, with a preference for the closed structure over the open isomer even for S<sub>3</sub>.<sup>1d</sup> Thus, the B3 hybrid functional that includes approximately 20% of the HF exchange would be expected to overestimate the relative stability of the  $D_{3h}$  structure compared to pure DFT B-exchange.

The relative energies from DFT of the  $D_{3h}$  structures and TS with respect to the  $C_{2v}$  form along with the zero-point vibrational energy corrected values are listed in Tables 1-3. The pure DFT B functional underestimates the relative stability of the closed form for all systems considered by 5-6 kcal/mol compared to the earlier ab initio results. For Te<sub>3</sub>, the B functional results are in the best agreement with the IMRCDCI+Q predictions. The better performance of a pure DFT functional as opposed to a hybrid one has been noted by Brabson et al.<sup>27</sup> in their study of the S<sub>2</sub>...O<sub>2</sub> complex with quite long intermolecular distances. The increasing accuracy of energetics with the pure DFT B functional with increasing bond lengths on going from the F<sub>2</sub> to the I<sub>2</sub> molecules was noted previously by Salahub et al.<sup>5d</sup> Probably, the description of the pure B potential is more accurate in the region of longer interatomic distances. Thus there is no necessity to include HF exchange in the DFT potential to improve the energetics at such distances.

Since the pure B functional underestimates but the HF method overestimates the stability of the closed forms for the  $O_3$ ,  $S_3$ , and  $Se_3$ , the inclusion of the HF exchange into the DFT functional may improve their predicted energetics. Indeed, for  $O_3$  and  $S_3$  the B3 functional results in a preference for the open

TABLE 1: Relative Stability of  $D_{3h}$  Minima with Respect to the  $C_{2v}$  Forms for O<sub>3</sub>, S<sub>3</sub>, and Se<sub>3</sub> (in kcal/mol)<sup>*a*</sup>

| method                 | $O_3$       | $S_3$       | $Se_3$      |
|------------------------|-------------|-------------|-------------|
| BLYP/                  |             |             |             |
| CEP-121+G(2d)          |             |             | 13.8 (13.8) |
| 6-311+G(2d)            | 36.4 (36.2) | 14.0 (14.0) | 7.6 (7.7)   |
| 6-311+G(2df)           | 35.7 (35.5) | 13.1 (13.1) | 5.4 (5.5)   |
| 6-311+G(3d2f)          | 35.5 (35.3) |             |             |
| BP86/                  |             |             |             |
| 6-311+G(2d)            | 36.7 (36.5) | 11.9 (11.9) | 5.5 (5.6)   |
| 6-311+G(2df)           | 35.8 (35.6) | 11.5 (11.6) | 3.0 (3.1)   |
| 6-311+G(3d2f)          | 35.6 (35.4) |             |             |
| B3LYP/                 |             |             |             |
| 6-31G(d)               |             | 9.3 (9.3)   | -2.6 (-2.6) |
| 6-311+G(2d)            | 31.4 (30.9) | 8.8 (8.4)   | 1.6 (1.6)   |
| 6-311+G(2df)           | 30.6 (30.2) | 7.6 (7.6)   | -0.7(-0.6)  |
| LanL2DZ                |             | 4.3 (4.4)   | 0.4 (0.4)   |
| LanL2DZ G(d)           |             | 7.9 (7.9)   | -0.3(-0.2)  |
| CEP-121+G(2d)          |             | 8.6 (8.6)   |             |
| B3P86/                 |             |             |             |
| 6-311+G(2d)            | 31.4 (31.0) | 6.6 (6.6)   | -0.4(-0.4)  |
| 6-311+G(2df)           | 30.6 (30.2) | 5.4 (5.3)   | -2.9 (-2.8) |
| HF/6-311+G(2d)         | 14.0        | -6.7        | -14.0       |
| FORS <sup>3c</sup>     | 30.5        | 8.5         |             |
| MRCI <sup>2c</sup>     |             | 5-6         |             |
| MRSDCI+O <sup>2a</sup> |             | 2 0         | 3.5         |

<sup>a</sup> Zero-point vibrational energy corrected values are in parentheses.

TABLE 2: Relative energies of the  $D_{3h}$  Structures and Rearrangement Barrier Heights<sup>*a*</sup> with Respect to the  $C_{2\nu}$ Isomer for Te<sub>3</sub> (in kcal/mol)<sup>*b*</sup>

|                        | Те          | 3                |
|------------------------|-------------|------------------|
| method                 | $D_{3h}$    | TS               |
| BLYP/                  |             |                  |
| GIN                    | 2.9 (2.9)   | 12.1 (12.0)      |
| HD                     | 0.6 (0.7)   | 10.5 (10.4)      |
| 3-21+G                 | 3.0 (3.1)   | 9.6 ( <i>c</i> ) |
| 3-21+G(d)              | -1.1(-0.9)  | С                |
| BP86/                  |             |                  |
| HD                     | -1.7(-1.6)  | 10.0 (9.9)       |
| 3-21+G                 | 1.7 (1.7)   | 9.3 (9.2)        |
| B3LYP/                 |             |                  |
| LanL2DZ                | -1.1(-1.1)  | 7.7 (7.5)        |
| LanL2DZ G(d)           | -4.4(-4.4)  | 9.6 (9.4)        |
| HD                     | -5.6 (-5.5) | 8.5 (8.3)        |
| 3-21+G                 | -4.0(-3.9)  | 6.7 (6.5)        |
| 3-21+G(d)              | -7.2(-7.2)  | 9.3 (10.2)       |
| B3P86/                 |             |                  |
| HD                     | -7.8(-7.8)  | 8.1 (8.0)        |
| 3-21+G                 | -5.3(-5.2)  | 6.6 (6.8)        |
| 3-21+G(d)              | -9.5(-9.5)  | 9.0 (6.6)        |
| HF/HD                  | -18.0       |                  |
| MRSDCI+Q <sup>2a</sup> | $\sim 0.0$  | ~15.0            |

<sup>*a*</sup> Barrier heights were computed with UDFT. <sup>*b*</sup> The values with zeropoint vibrational energy corrections are in parentheses. <sup>*c*</sup> Values were not obtained due to SCF convergence problem.

structures in excellent agreement with FORS<sup>3c</sup> computations. For Se<sub>3</sub>, B3 calculations predict nearly degenerate total energies for the open and ring isomers, which is in good agreement with the earlier MRCDCI+Q results.<sup>2a</sup> For Te<sub>3</sub>, the B3 functionals result in a preference for the ring structure of 3-9 kcal/mol depending on the basis set. This result is not unexpected given the HF component in B3; our HF computation with the large all-electron HD basis shows a preference for the Te<sub>3</sub> ring structure of 18 kcal/mol.

Changes in the correlation potential and inclusion of the zeropoint vibrational energy corrections play relatively minor roles in the energetics.

TABLE 3: Rearrangement Barrier Heights with Respect to the  $C_{2\nu}$  Minima for O<sub>3</sub>, S<sub>3</sub>, and Se<sub>3</sub> (kcal/mol)<sup>*a*</sup>

|                        | , , , , , , , , , , , , , , , , , , , | (neur/mor)  |                 |
|------------------------|---------------------------------------|-------------|-----------------|
| method                 | O <sub>3</sub>                        | $S_3$       | Se <sub>3</sub> |
| UBLYP/                 |                                       |             |                 |
| CEP-121+G(2d)          |                                       | 26.9 (26.4) |                 |
| 6-311+G(2d)            | 46.9 (45.5)                           | 27.7 (27.1) | 18.6 (18.3)     |
| 6-311+G(2df)           | 46.7 (45.3)                           | 27.8 (27.2) | 18.0 (17.8)     |
| 6-311+G(3d2f)          | 46.5 (45.1)                           |             |                 |
| UBP86/                 |                                       |             |                 |
| 6-311+G(2d)            | 48.5 (47.0)                           | 27.6 (27.1) | 18.4 (18.1)     |
| 6-311+G(2df)           | 48.2 (46.8)                           | 28.4 (27.8) | 17.7 (17.4)     |
| 6-311+G(3d2f)          | 48.0 (46.5)                           |             |                 |
| UB3LYP/                |                                       |             |                 |
| 6-31G(d)               |                                       | 27.4 (26.7) | 15.6 (15.2)     |
| 6-311+G(2d)            | 47.5 (45.7)                           | 27.0 (26.3) | 16.9 (16.6)     |
| 6-311+G(2df)           | 47.5 (45.7)                           | 27.3 (26.6) | 16.6 (16.3)     |
| LanL2DZ                |                                       | 13.6 (13.1) | 9.9 (9.7)       |
| LanL2DZ G(d)           |                                       | 25.4 (24.8) | 15.1 (14.9)     |
| CEP-121+G(2d)          |                                       | 26.2 (25.6) |                 |
| UB3P86/                |                                       |             |                 |
| 6-311+G(2d)            | 49.0 (47.2)                           | 27.1 (26.4) | 16.9 (16.6)     |
| 6-311+G(2df)           | 49.0 (47.2)                           | 27.2 (26.6) | 16.4 (16.1)     |
| FORS <sup>3c</sup>     | 52.7                                  | 36.1        |                 |
| MRSDCI+Q <sup>2a</sup> |                                       |             | 16.0            |
|                        |                                       |             |                 |

<sup>a</sup> Zero-point vibrational energy corrected values are in parentheses.

For the ring closure reaction from the  $C_{2v}$  to the  $D_{3h}$  isomer, the barrier height is correlated with the relative energy of the LUMO.1j A significant underestimation of gaps between the Kohn-Sham HOMO and LUMO is typical of DFT approaches and is not sensitive to any corrections.<sup>26</sup> For the S<sub>3</sub> bent structure, HF yields a gap of 0.32 eV. B3LYP and BLYP predict nearly equal gaps of 0.07 and 0.05 eV, respectively. Many-body Green's function methods predict a gap of ca. 3 eV for Se<sub>3</sub> and ca. 2 eV for Te<sub>3</sub>.<sup>1j</sup> The predicted values with B3 (B) are 0.07 (0.03) eV and 0.06 (0.02) eV for Se<sub>3</sub> and Te<sub>3</sub>, respectively. Thus, it is expected that the B and B3 potentials will yield similar barrier heights. Tables 2 and 3 a show that all DFT methods reveal quantitatively similar results. UDFT underestimates the barriers by about 5 kcal/mol for O<sub>3</sub> and Te<sub>3</sub> and 10 kcal/mol for S<sub>3</sub> compared to earlier ab initio results. Calculated values for Se<sub>3</sub> are in agreement with the earlier MRCDCI+Q extrapolated value.

With respect to the choice of the basis set for  $X_3$ , polarization functions must be included. The addition of diffuse functions is not as significant. Zero-point vibrational energy corrections flatten the PES's slightly, thus decreasing the barrier heights by approximately 0.5 kcal/mol.

Our DFT computations confirm once more that the  $Se_3$  and  $Te_3$  ring isomers are sufficiently kinetically stable to be trapped experimentally at low temperature.

**2. Geometries of Equilibrium Structures.** Analysis of the DFT geometries for the  $X_3$  molecules is hindered by a lack of experimental data and the existence of a range of computed values. The DFT-optimized geometries of the  $C_{2\nu}$  structures are reported in Tables 4 and 5. In contrast to the situation regarding relative energies, the geometries calculated with the B functional are in better agreement than the B3 with the most accurate ab initio and experimental results. The underestimation of bond length values with hybrid B3 is probably due to the HF exchange component. The HF method itself predicts bond lengths that are too short for S<sub>3</sub> and Se<sub>3</sub>. For Te<sub>3</sub>, HF reproduces the interatomic distances reasonably well, and thus hybrid and pure functionals would be expected to produce similar results.

For the open isomer of  $O_3$ , the BP86 computed bond lengths are in quite good agreement with experiment. The largest triple- $\zeta$ 

| TABLE 4: | Geometries of | f the $C_{2v}$ | Structures | of | <b>O</b> <sub>3</sub> , | S <sub>3</sub> , and | Se <sub>3</sub> <sup><i>a</i></sup> |
|----------|---------------|----------------|------------|----|-------------------------|----------------------|-------------------------------------|
|----------|---------------|----------------|------------|----|-------------------------|----------------------|-------------------------------------|

|  |       | O <sub>3</sub> | $S_3$           |                | Se    | 3     |
|--|-------|----------------|-----------------|----------------|-------|-------|
| method                                       | R     | α              | R               | α              | R     | α     |
| BLYP/  |       |                |                 |                |       |       |
| CEP-121+G(2d)                                |       |                | 1.985           | 118.6          |       |       |
| 6-311+G(2d)                                  | 1.291 | 118.2          | 1.976           | 118.6          | 2.269 | 117.2 |
| 6-311+G(2df)                                 | 1.288 | 118.2          | 1.961           | 118.5          | 2.257 | 117.2 |
| 6-311+G(3d2f)                                | 1.287 | 118.1          |                 |                |       |       |
| BP86/  |       |                |                 |                |       |       |
| 6-311+G(2d)                                  | 1.280 | 118.2          | 1.958           | 118.6          | 2.245 | 117.2 |
| 6-311+G(2df)                                 | 1.276 | 118.2          | 1.938           | 118.6          | 2.233 | 117.0 |
| 6-311+G(3d2f)                                | 1.275 | 118.1          |                 |                |       |       |
| B3LYP/                                       |       |                |                 |                |       |       |
| 6-31G(d)                                     |       |                | 1.952           | 118.3          | 2.219 | 115.4 |
| 6-311+G(2d)                                  | 1.257 | 118.3          | 1.943           | 118.1          | 2.231 | 116.3 |
| 6-311+G(2df)                                 | 1.253 | 118.4          | 1.930           | 118.1          | 2.219 | 116.2 |
| LanL2DZ                                      |       |                | 2.139           | 115.0          | 2.375 | 114.1 |
| LanL2DZ G(d)                                 |       |                | 1.956           | 117.5          | 2.246 | 116.1 |
| CEP-121+G(2d)                                |       |                | 1.955           | 118.1          |       |       |
| B3P86/                                       |       |                |                 |                |       |       |
| 6-311+G(2d)                                  | 1.247 | 118.3          | 1.927           | 118.1          | 2.209 | 116.3 |
| 6-311+G(2df)                                 | 1.244 | 118.4          | 1.916           | 118.0          | 2.197 | 116.2 |
| HF/6-311+G(2df)                              | 1.96  | 119.3          | 1.897           | 117.6          | 2.175 | 115.1 |
| experiment <sup>b</sup>                      | 1.272 | 116.8          | $1.90 \pm 0.05$ |                |       |       |
| FORS <sup>3c</sup><br>MRSDCI+Q <sup>2c</sup> |       | 1.283          | 116.8<br>1.937  | 1.963<br>117.8 | 117.4 |       |
| MRSDCI+Q <sup>2a</sup>                       |       |                | 1.707           | 11,10          | 2.253 | 114.2 |

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<sup>a</sup> Distances (R) in Å, angles α in degrees. <sup>b</sup> Reference 22 for O<sub>3</sub>. Reference 8c for S<sub>3</sub>.

TABLE 5: Geometries of the  $C_{2\nu}$ ,  $D_{3h}$ , and rearrangement  $TS^a$  Structures of  $Te_3^b$ 

|                        | $C_{2v}$ |       | $D_{3h}$ | T                | S              |
|------------------------|----------|-------|----------|------------------|----------------|
| method                 | R        | α     | R        | R                | α              |
| BLYP/                  |          |       |          |                  |                |
| GIN                    | 2.736    | 118.1 | 2.90     | 2.822            | 77.3           |
| HD                     | 2.694    | 116.5 | 2.850    | 2.774            | 77.8           |
| 3-21+G                 | 2.805    | 115.3 | 3.001    | 2.921            | 74.9           |
| 3-21+G(d)              | 2.683    | 116.9 | 2.833    |                  |                |
| BP86/                  |          |       |          |                  |                |
| HD                     | 2.674    | 116.4 | 2.822    | 2.746            | 79.1           |
| 3-21+G                 | 2.776    | 115.3 | 2.967    | 2.881            | 75.9           |
| B3LYP/                 |          |       |          |                  |                |
| HD                     | 2.657    | 115.2 | 2.819    | 2.736            | 80.4           |
| 3-21+G                 | 2.765    | 113.4 | 2.963    | 2.870            | 78.3           |
| 3-21+G(d)              | 2.641    | 115.1 | 2.800    | 2.718            | 80.3           |
| LanL2DZ                | 2.723    | 113.4 | 2.930    | 2.838            | 77.0           |
| LanL2DZ G(d)           | 2.621    | 115.1 | 2.786    | 2.705            | 79.8           |
| B3P86/                 |          |       |          |                  |                |
| HD                     | 2.639    | 115.1 | 2.796    | 2.710            | 81.3           |
| 3-21+G                 | 2.737    | 113.5 | 2.931    | 2.835            | 79.2           |
| 3-21+G(d)              | 2.613    | 114.7 | 2.770    | 2.685            | 81.7           |
| HF/HD                  | 2.668    | 111.8 | 2.869    |                  |                |
| MRSDCI+Q <sup>2a</sup> | 2.637    | 111.5 | 2.775    |                  |                |
| CASSCF <sup>2a</sup>   | 2.673    | 113.2 | 2.827    | $\sim \!\! 2.76$ | $\sim\!\!81.0$ |

<sup>*a*</sup> TS geometries were computed with UDFT. <sup>*b*</sup> Distances (*R*) in Å, angles  $\alpha$  in degrees.

basis set with the additional 3d2f polarization functions yields the best result. It has been shown<sup>23</sup> that for O<sub>3</sub> f-type functions are essential for obtaining quantitative accuracy for this structure. For chalcogens the experimental structural parameters are unknown (the bond length was estimated for S<sub>3</sub><sup>8c</sup>). In comparison with the FORS<sup>3c</sup> and MRCI+Q/ANO6532<sup>2c</sup> computations, the BLYP functional wielded the best bond lengths with any basis set of triple- $\zeta$  quality that included diffuse and polarization functions. For Se<sub>3</sub>, according to earlier ab initio results, all computational schemes that include polarization functions give reasonable values. For the Te<sub>3</sub> molecule, the computed bond

| TABLE 6:        | Geometries | of | the $D_{3}$ | , Structures | of | O <sub>3</sub> , S <sub>3</sub> , ar | nd |
|-----------------|------------|----|-------------|--------------|----|--------------------------------------|----|
| Se <sup>a</sup> |            |    |             |              |    |                                      |    |

| le <sub>3</sub>                  |                |       |                 |
|----------------------------------|----------------|-------|-----------------|
| method                           | O <sub>3</sub> | $S_3$ | Se <sub>3</sub> |
| BLYP/                            |                |       |                 |
| CEP-121+G(2d)                    |                | 2.157 |                 |
| 6-311+G(2d)                      | 1.466          | 2.148 | 2.435           |
| 6-311+G(2df)                     | 1.466          | 2.129 | 2.414           |
| 6-311+G(3d2f)                    | 1.465          |       |                 |
| BP86/                            |                |       |                 |
| 6-311+G(2d)                      | 1.453          | 2.123 | 2.404           |
| 6-311+G(2df)                     | 1.448          | 2.099 | 2.383           |
| 6-311+G(3d2f)                    | 1.447          |       |                 |
| B3LYP/                           |                |       |                 |
| 6-31G(d)                         |                | 2.116 | 2.377           |
| 6-311+G(2d)                      | 1.433          | 2.119 | 2.400           |
| 6-311+G(2df)                     | 1.429          | 2.099 | 2.377           |
| LanL2DZ                          |                | 2.354 | 2.577           |
| LanL2DZ G(d)                     |                | 2.130 | 2.412           |
| CEP-121+G(2d)                    |                | 2.130 |                 |
| B3P86/                           |                |       |                 |
| 6-311+G(2d)                      | 1.419          | 2.097 | 2.373           |
| 6-311+G(2df)                     | 1.415          | 2.079 | 2.352           |
| HF/6-311+G(2df)                  | 1.365          | 2.084 | 2.353           |
| FORS <sup>3c</sup>               | 1.461          | 2.131 |                 |
| MRSDCI+Q <sup>2a</sup>           |                |       | 2.402           |
| CASSCF <sup>1k</sup>             |                | 2.10  | 2.43            |
| <sup>a</sup> Distances (R) in Å. |                |       |                 |

distances depend on the precise mixture of exchange and correlation components and basis sets but all lie in the narrow range of 2.6–2.7 Å. These results are in good agreement with multireference ab initio computations that reveal the same range

corrections, P86 predicts shorter bond lengths by  $\sim 0.02$  Å. Overall, for geometries, the sensitivity to the exchangecorrelation functional, B or B3, decreases from O<sub>3</sub> to Te<sub>3</sub> (i.e., the applicability of the Hartree–Fock method increases on going to Te<sub>3</sub>). The accuracy of the computed geometries for the tellurium species is determined mainly by the quality of the basis set.

of distances. When comparing the LYP and P86 correlation

TABLE 7: Harmonic Vibrational Frequencies ( $\omega$ ) of the  $C_{2\nu}$  Structures of O<sub>3</sub>, S<sub>3</sub>, and Se<sub>3</sub> (cm<sup>-1</sup>)

|                            |            | O <sub>3</sub> |            |            | $S_3$      |     |            | Se <sub>3</sub> |     |
|----------------------------|------------|----------------|------------|------------|------------|-----|------------|-----------------|-----|
| method                     | $\omega_2$ | $\omega_3$     | $\omega_1$ | $\omega_2$ | $\omega_1$ | ω3  | $\omega_2$ | $\omega_1$      | ω3  |
| BLYP/                      |            |                |            |            |            |     |            |                 |     |
| CEP-121+G(2d)              |            |                |            | 238        | 536        | 608 |            |                 |     |
| 6-311+G(2d)                | 682        | 997            | 1135       | 241        | 536        | 605 | 111        | 294             | 328 |
| 6-311+G(2df)               | 686        | 997            | 1138       | 244        | 547        | 616 | 114        | 298             | 336 |
| 6-311+G(3d2f)              | 689        | 999            | 1140       |            |            |     |            |                 |     |
| BP86/                      |            |                |            |            |            |     |            |                 |     |
| 6-311+G(2d)                | 702        | 1059           | 1178       | 247        | 561        | 639 | 116        | 306             | 347 |
| 6-311+G(2df)               | 706        | 1060           | 1181       | 250        | 570        | 652 | 118        | 310             | 352 |
| 6-311+G(3d2f)              | 709        | 1061           | 1183       |            |            |     |            |                 |     |
| B3LYP/                     |            |                |            |            |            |     |            |                 |     |
| 6-31G(d)                   |            |                |            | 258        | 578        | 661 | 123        | 328             | 377 |
| 6-311+G(2d)                | 744        | 1209           | 1257       | 258        | 581        | 668 | 121        | 315             | 358 |
| 6-311+G(2df)               | 748        | 1210           | 1260       | 260        | 593        | 681 | 122        | 319             | 363 |
| LanL2DZ                    |            |                |            | 197        | 458        | 503 | 104        | 268             | 298 |
| LanL2DZ G(d)               |            |                |            | 253        | 578        | 656 | 120        | 312             | 354 |
| CEP-121+G(2d)              |            |                |            | 253        | 578        | 665 |            |                 |     |
| B3P86/                     |            |                |            |            |            |     |            |                 |     |
| 6-311+G(2d)                | 761        | 1264           | 1296       | 263        | 604        | 698 | 123        | 325             | 370 |
| 6-311+G(2df)               | 766        | 1266           | 1300       | 265        | 615        | 710 | 124        | 330             | 375 |
| experiment <sup>a</sup>    | 716        | 1089           | 1135       | 256        | 575        | 656 | (350)      |                 |     |
| $CCSD(T)^{1m}$             | 718        | 1053           | 1153       |            |            |     |            |                 |     |
| FORS <sup>3f</sup>         | 685        | 1044           | 1153       |            |            |     |            |                 |     |
| BLYP/TZ(2df) <sup>2b</sup> |            |                |            | 247        | 551        | 623 |            |                 |     |

<sup>*a*</sup> Reference 9 for  $O_3$  (harmonic frequencies). Reference 8c for  $S_3$  (fundamentals). Reference 8a for  $Se_3$  (fundamentals). For  $Se_3$  the reported value was not assigned to any mode.

For Te<sub>3</sub>, all basis sets with polarization functions predict reasonable geometries. Note that for B3P86 the Te–Te distance with the smaller 3-21+G(d) is too short due to the sum of effects due to P86 and the polarization function both of which tend to shorten the bond lengths. The relativistic core potential plus valence basis sets give results in general agreement with the 3-21+G(d) predictions. However, the most accurate results were obtained with the largest all-electron HD basis set. These results with and without relativistic core potential agree with investigations<sup>2a</sup> of group 16 trimers which noted significant relativistic effects only for Po<sub>3</sub>.

The  $D_{3h}$  ring minimum is characterized by significant lengthening of the X-X bonds compared to the open  $C_{2\nu}$ structure due to the occupancy of an antibonding  $b_1(\pi^*)$  orbital instead of a nonbonding  $b_2$  orbital (*n* $\sigma$ ) in the open structure. Tables 5 and 6 demonstrate that, in general, all trends obtained with DFT for the  $C_{2\nu}$  structure also may be noted for the  $D_{3h}$ ring.

3. Harmonic Vibrational Frequencies. The ordering and the values of the O<sub>3</sub> stretching frequencies have proven difficult to predict theoretically. For most triatomic systems AB<sub>2</sub>, the asymmetric stretch is higher than the two symmetric vibrations. For  $O_3$ , the asymmetric stretch ( $\omega_3$ ) lies between the lowest symmetric bend ( $\omega_2$ ) and the symmetric stretch ( $\omega_1$ ). It is known<sup>25</sup> that the  $C_{2\nu}$  global minimum of O<sub>3</sub> has its groundstate electron configuration mixed with a significant amount of a low-lying doubly excited electron configuration. These configurations differ by the double excitation from HOMO to LUMO. It has been pointed out by Stanton et al.<sup>24</sup> that predictions of the ordering and the value of  $\omega_3$  are very sensitive to the level of electron correlation included. Thus, MP2 and HF obtain the wrong order of  $\omega_n$ , CCSD overestimates the values of  $\omega_n$  considerably, but DFT and multireference ab initio results are in good agreement with experiment.2d The unconventional ordering of frequencies, usually is attributed to the multireference nature of O<sub>3</sub>. In the case of the S<sub>3</sub> bent structure, it has the normal ordering of frequencies, as shown both experimentally<sup>8c</sup> and theoretically,<sup>2b</sup> despite the fact it has much the same multireference character <sup>2c</sup> as  $O_3$ .<sup>25</sup> Thus, the connection between the unusual ordering of frequencies and the degree of multireference character cannot be as simple as previously suggested.

Much less is known about the ordering and the values of the harmonic vibrational frequencies for Se<sub>3</sub> and Te<sub>3</sub>. An IR band at 350 cm<sup>-1</sup> was assigned to the  $C_{2v}$  structure of Se<sub>3</sub>.<sup>8a</sup> For the Te<sub>3</sub> molecule the asymmetric stretch at 232 cm<sup>-1</sup> was assigned to the bent form.<sup>8b</sup> Schnöckel<sup>8d</sup> reported the symmetric stretching in the range 206 to 203 cm<sup>-1</sup>. It is proposed that Te<sub>3</sub> and, very likely, Se<sub>3</sub> have the conventional ordering of the frequencies as was predicted for S<sub>3</sub>.

Harmonic vibrational frequencies for the  $C_{2v}$  structures are presented in Tables 7 and 8. The DFT approach reproduces the "nonintuitive" ordering of the vibrational frequencies in the case of O<sub>3</sub> and the conventional ordering for all the other members of the X<sub>3</sub> series. The absolute values of  $\omega_n$  and the differences between the frequencies decrease on going from O<sub>3</sub> to Te<sub>3</sub> as reduced masses increase and the force constants decrease with lengthening of the X–X bonds.

In contrast to the open forms, the closed forms for both O<sub>3</sub> and S<sub>3</sub> have the same ordering of frequencies: a degenerate e'  $(\omega_2')$  mode and an A<sub>1</sub>' symmetric stretch  $\omega_1'$ .<sup>2b,d</sup> DFT predictions of the vibrational frequencies for the  $D_{3h}$  structures are given in Tables 8 and 9. All species have the same ordering of frequencies. The computed values for the  $D_{3h}$  structures obey trends similar to the  $C_{2v}$  species discussed above. For harmonic vibrations, the best values were obtained with the pure B functional. For the ring isomer of Te<sub>3</sub> the DFT computed frequencies are in agreement with an experimentally extrapolated fundamental of 153 cm<sup>-1</sup> and, consequently, with the supposition<sup>8b</sup> that the fundamentals of the possible  $D_{3h}$  structure could not be observed experimentally owing to limitations of the spectrophotometer.

4. Transition States on the  $C_{2\nu}$  to  $D_{3h}$  Interconversion Pathway. The transition states computed with DFT for  $X_3$ 

TABLE 8: Harmonic vibrational frequencies ( $\omega$ ) of the  $C_{2\nu}$  and  $D_{3h}$  Structures of Te<sub>3</sub> (cm<sup>-1</sup>)

| 54                       | 5 (1         | /                |     |                      |             |
|--------------------------|--------------|------------------|-----|----------------------|-------------|
|                          |              | $C_{2v}$         |     |                      | h           |
| method                   | $\omega_2$   | $\omega_1$       | ω3  | (e) ω <sub>2</sub> ' | $\omega_1'$ |
| BLYP/                    |              |                  |     |                      |             |
| GIN                      | 60           | 180              | 208 | 145                  | 197         |
| HD                       | 64           | 188              | 212 | 152                  | 206         |
| 3-21+G                   | 58           | 175              | 197 | 138                  | 187         |
| 3-21+G(d)                | 65           | 194              | 223 | 161                  | 220         |
| BP86/                    |              |                  |     |                      |             |
| HD                       | 66           | 197              | 223 | 161                  | 218         |
| 3-21+G                   | 60           | 181              | 209 | 143                  | 193         |
| B3LYP/                   |              |                  |     |                      |             |
| HD                       | 69           | 203              | 230 | 161                  | 220         |
| 3-21+G                   | 62           | 185              | 231 | 144                  | 195         |
| 3-21+G(d)                | 67           | 211              | 237 | 172                  | 235         |
| LanL2DZ                  | 65           | 182              | 203 | 138                  | 190         |
| LanL2DZ.G(d)             | 71           | 208              | 236 | 163                  | 221         |
| B3P86/                   |              |                  |     |                      |             |
| HD                       | 70           | 210              | 240 | 169                  | 230         |
| 3-21+G                   | 63           | 187              | 216 | 149                  | 201         |
| 3-21+G(d)                | 70           | 224              | 252 | 181                  | 247         |
| experiment <sup>8b</sup> | 203<br>(206) | 232 <sup>a</sup> |     | $(153)^{b}$          |             |

<sup>a</sup> Fundamental values. <sup>b</sup> Extrapolated fundamental value.

TABLE 9: Harmonic Vibrational Frequencies ( $\omega$ ) of the  $D_{3h}$ Structures of O<sub>3</sub>, S<sub>3</sub>, and Se<sub>3</sub> (cm<sup>-1</sup>)

|                    | 0               | 3           | $S_3$           |             | Se              | 3           |
|--------------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| method             | (e) $\omega_2'$ | $\omega_1'$ | (e) $\omega_2'$ | $\omega_1'$ | (e) $\omega_2'$ | $\omega_1'$ |
| BLYP/              |                 |             |                 |             |                 |             |
| CEP-121+G(2d)      |                 |             | 416             | 555         |                 |             |
| 6-311+G(2d)        | 785             | 1100        | 417             | 556         | 228             | 306         |
| 6-311+G(2df)       | 795             | 1106        | 425             | 560         | 234             | 312         |
| 6-311+G(3d2f)      | 792             | 1105        |                 |             |                 |             |
| BP86/              |                 |             |                 |             |                 |             |
| 6-311+G(2d)        | 824             | 1144        | 442             | 586         | 240             | 321         |
| 6-311+G(2df)       | 835             | 1154        | 449             | 586         | 247             | 328         |
| 6-311+G(3d2f)      | 834             | 1153        |                 |             |                 |             |
| B3LYP/             |                 |             |                 |             |                 |             |
| 6-31G(d)           |                 |             | 450             | 586         | 260             | 349         |
| 6-311+G(2d)        | 850             | 1205        | 445             | 595         | 242             | 326         |
| 6-311+G(2df)       | 858             | 1211        | 454             | 600         | 250             | 334         |
| LanL2DZ            |                 |             | 345             | 481         | 205             | 285         |
| LanL2DZ G(d)       |                 |             | 449             | 594         | 245             | 328         |
| CEP-121+G(2d)      |                 |             | 442             | 592         |                 |             |
| B3P86/             |                 |             |                 |             |                 |             |
| 6-311+G(2d)        | 888             | 1248        | 467             | 622         | 254             | 340         |
| 6-311+G(2df)       | 897             | 1254        | 467             | 625         | 262             | 349         |
| CCSD(T)1m          | 795             | 1114        |                 |             |                 |             |
| FORS <sup>3f</sup> | 750             | 1046        |                 |             |                 |             |
| BLYP <sup>2b</sup> | 792             | 1104        | 428             | 559         |                 |             |

(X=O, S, Se, Te) were located with fractionally occupied HOMO and LUMO UDFT orbitals,  $4b_1^2 \rightarrow 2b_2^2$ . In this case, the PES avoids the crossing. Tables 5 and 10 show the computed geometries of the TS. For all systems considered, saddle points are situated closer to the ring than the open structure, with an X-X-X angle of approximately 80°, in accord with Hammond's postulate and with earlier multireference ab initio results. For O<sub>3</sub>, S<sub>3</sub>, and Se<sub>3</sub> the B functional gives the best agreement of the computed bond lengths with the literature. For Te<sub>3</sub>, quantitatively similar results were obtained by all DFT methods.

Some general trends of the electronic nature of the  $X_3$  species were obtained using the DFT FON approach. Table 11 presents the UB3LYP occupancies of the mixed  $b_1$  and  $b_2$  orbitals as a function of bond angle computed with the 6-311+G(2d) basis set for O<sub>3</sub> to Se<sub>3</sub> and HD for Te<sub>3</sub>. The DFT FON results appear quite reasonable. For all systems considered, the leading

TABLE 10: Geometries of the Rearrangement TS of  $O_3$ ,  $S_3$ , and  $Se_3^a$ 

|                         | O <sub>3</sub> |      | S     | 3    | Se    | 3     |
|-------------------------|----------------|------|-------|------|-------|-------|
| method                  | R              | α    | R     | α    | R     | α     |
| UBLYP/                  |                |      |       |      |       |       |
| CEP-121+G(2d)           |                |      | 2.094 | 77.3 |       |       |
| 6-311+G(2d)             | 1.426          | 74.7 | 2.085 | 77.6 | 2.366 | 76.7  |
| 6-311+G(2df)            | 1.421          | 75.0 | 2.068 | 78.1 | 2.347 | 77.7  |
| 6-311+G(3d2f)           | 1.421          | 75.0 |       |      |       |       |
| UBP86/                  |                |      |       |      |       |       |
| 6-311+G(2d)             | 1.409          | 75.1 | 2.059 | 78.3 | 2.335 | 77.7  |
| 6-311+G(2df)            | 1.404          | 75.4 | 2.037 | 78.7 | 2.316 | 78.6  |
| 6-311+G(3d2f)           | 1.403          | 75.4 |       |      |       |       |
| UB3LYP/                 |                |      |       |      |       |       |
| 6-31G(d)                |                |      | 2.057 | 79.0 | 2.306 | 79.9  |
| 6-311+G(2d)             | 1.384          | 77.4 | 2.049 | 79.5 | 2.325 | 78.9  |
| 6-311+G(2df)            | 1.379          | 77.7 | 2.032 | 79.9 | 2.306 | 79.8  |
| LanL2DZ                 |                |      | 2.275 | 76.0 | 2.493 | 76.8  |
| LanL2DZ G(d)            |                |      | 2.069 | 78.8 | 2.341 | 79.2  |
| CEP-121+G(2d)           |                |      | 2.060 | 79.1 |       |       |
| UB3P86/                 |                |      |       |      |       |       |
| 6-311+G(2d)             | 1.369          | 77.7 | 2.027 | 80.0 | 2.298 | 79.6  |
| 6-311+G(2df)            | 1.365          | 78.0 | 2.011 | 80.4 | 2.279 | 80.5  |
| FORS <sup>3c</sup>      | 1.426          | 83.9 | 2.089 | 83.6 |       |       |
| CAS-MCSCF <sup>1k</sup> | 1.43           | 83.6 | 2.10  | 84.  | 2.37  | 83.9  |
| MRSDCI+O <sup>2a</sup>  |                | 2210 | 0     |      | 2.33  | 80.0  |
| 2                       |                |      |       |      |       | 2 510 |

<sup>*a*</sup> Distances (*R*) in Å, angles  $\alpha$  in degrees.

TABLE 11: Fractional Orbital Occupancy Numbers [(2-n):n] for the Mixed Frontier Orbitals along the  $D_{3h}$  to  $C_{2\nu}$  Pathway as a Function of Bond Angle

|  | configuration<br>$b_1^{2-n} \rightarrow b_2^{n a}$ |  | $configurationb_2^{2-n} \rightarrow b_1^{n a})$               |   |  |
|--|--|--|---|---|--|
| structure  | 75°  | TS   | 85°   | 90°   | $C_{2v}\min$   |
| $\begin{array}{c} O_3\\S_3\\Se_3\\Te_3\end{array}$ | 1.77:0.23<br>1.65:0.35                             | 1.60:0.40<br>1.35:0.65<br>1.31:0.69<br>1.12:0.88 | 1.32:0.68 <sup>b</sup><br>1.08:0.92<br>1.12:0.88<br>1.13:0.87 | $\begin{array}{c} 1.45:0.55^b \\ 1.40:0.60 \\ 1.40:0.60 \\ 1.39:0.61 \end{array}$ | $\begin{array}{c} 1.86:0.14^{b}\\ 2.00:0.0\\ 2.00:0.0\\ 2.00:0.0\end{array}$ |

<sup>*a*</sup> In the region from  $D_{3h}$  to the TS the b<sub>1</sub> orbital is more strongly occupied, while after the avoided crossing in the region of the TS the b<sub>2</sub> orbital is more strongly occupied. <sup>*b*</sup> The  $a_2^{2-n}$  orbital is the more occupied of the highest two orbitals in the region of the  $C_{2v}$  global minimum of O<sub>3</sub>.

configuration at the TS has a greater occupancy of the b1 orbital but a significant occupancy in the b<sub>2</sub> orbital. The b<sub>2</sub> occupancy number increases with increasing atomic number due to the decrease of the energies of the b2<sup>LUMO</sup> on proceeding from S3 to Te<sub>3</sub>. The b<sub>1</sub> configuration is dominant in the range 81° and below: this region includes both the TS and the  $D_{3h}$  critical points. In the range between 82° and 120° ( $C_{2v}$  minimum) the configuration with b2 more occupied characterizes the lowest state. These general results are in very good agreement with multireference ab initio computations.25 Any multireference character of the minima for  $S_3$ ,  $Se_3$ , and  $Te_3$  and the  $D_{3h}$ minimum for O<sub>3</sub> are not illustrated by the DFT FON determined by mixing the HOMO and LUMO. Mixed configurations  $a_i^2$  $\rightarrow 2b_1^2$  for  $C_{2v}$  and  $a_i^2 \rightarrow 2b_2^2$  for  $D_{3h}$  structures are determined by excitations from lower orbitals<sup>2c,25</sup> not modified by the mixing. Of the minima, only for the  $C_{2\nu}$  structure of O<sub>3</sub> does the leading  $b_2^2$  configuration mix with  $a_2^{2-n} \rightarrow 2b_1^n$ , where  $a_2$ appears to be the HOMO. It may be noted in Table 11 that the DFT FON method reflects the multireference character of the global minimum of ozone qualitatively well. In summary, for X<sub>3</sub> systems DFT FNO mirrors the multireference character of electronic states in any region determined by the mixing of frontier orbitals.

#### Conclusions

Critical points on the  $C_{2v}$  to  $D_{3h}$  interconversion pathways for the S<sub>3</sub>, Se<sub>3</sub>, and Te<sub>3</sub> molecules were determined correctly by DFT methods. Accurate results for the geometries of both the  $C_{2v}$  open and the  $D_{3h}$  closed equilibrium structures were obtained. For S<sub>3</sub>, the  $C_{2v}$  structure is approximately 10 kcal/ mol more stable than the  $D_{3h}$  one. Se<sub>3</sub> and Te<sub>3</sub> have almost degenerate  $C_{2v}$  and  $D_{3h}$  minima. The unusual ordering of vibrational frequencies for O<sub>3</sub> and the conventional ordering for the subsequent members of the X<sub>3</sub> series are reproduced well by DFT. The computed values of the harmonic vibrational frequencies with DFT are in good agreement with experiment or with earlier multireference ab initio computations.

The DFT FON approach recovers an avoided crossing in the TS region on the  $C_{2\nu}$  to  $D_{3h}$  interconversion pathways on the ground <sup>1</sup>A<sub>1</sub> potential energy surfaces of S<sub>3</sub>, Se<sub>3</sub>, and Te<sub>3</sub>. The true transition states were obtained by mixing the frontier UDFT orbitals for all species considered. The occupancy of the b<sub>2</sub> LUMO in the saddle point region increases with increasing atomic number. The DFT barriers of interconversion are ~26, ~17, and ~10 kcal/mol for S<sub>3</sub>, Se<sub>3</sub>, and Te<sub>3</sub>, respectively.

DFT predicts that the ring structures of  $Se_3$  and  $Te_3$  are stable and thus could be found experimentally. The very low harmonic frequencies of  $Te_3$  may hinder any IR spectroscopic identification of this ring isomer.

Computational accuracy for these  $X_3$  species depends mainly on the type of exchange correction and on the basis set. Correlation corrections play a more minor role. For  $S_3$  and  $Se_3$ , hybrid B3 functional yields the best energetics, while the pure B functional provides the best geometries and frequencies. For Te<sub>3</sub> accurate relative energies and geometries were obtained with the pure B functional. Basis sets beginning with DZ quality may be used, provided polarization functions are included.

Density functional approaches may be applied to these systems with nondynamical electron correlation: the equilibrium structures of multireference character and saddle points with mixed state determined by frontier orbitals crossing. A given exchange potential produces reasonable results that may be improved by selection of a particular correlation functional.

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